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## Packaging tools for the frontline

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## We've come a long way...

Global context  
– patient safety WHO work


National context  
– patient safety strong feature of DH work  
– NPSA with national reporting system  
– NPSA as support agency

Frontline in England  
– Providers raising the profile  
– Board understanding  
– Commissioning for safety, accountability





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## About the NPSA

- Arm's Length Body of the Department of Health
- Organised as three arms with distinct functions

National Clinical Assessment Service (NCAS)  
National Research Ethics Service (NRES)  
National Reporting and Learning Service (NRLS)


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## Reporting and Learning System (RLS)

- Voluntary, confidential national system
- Learning for safety improvement
- Commenced November 2003
- Covers all health sectors
- Electronic reporting via risk management systems in local NHS organisations
- Undergoing continuous improvement  
e.g. Data accuracy and completion

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## Other national bodies

Care Quality Commission  
Regulator - registration requirements, inspection, Annual Health Check includes quality and patient safety indicators

NHS Litigation Authority  
Standards and assessment, pays out for NHS error



NHSIII  
Innovation and improvement, educational programmes

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## NPSA – where are we now?

- Database of 3.5 million
- Systematic approach to identify and prioritise risks
- Clinical input to make sense of data – individual screening and weekly meetings
- Understanding and responding to the landscape

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## Rapid Response Reports (RRRs)

**Key Features:**  
**Rapid awareness raising**  
**Clear actions – “could it happen here?”**  
**Deadline for implementation**

“One-page format is good... helpful in alerting safety issues.” [Medical Director]

“Higher impact. Everything included on first page. Communicates well.” [Chief Pharmacist]

“Succinct message clear and action to be taken.” [Chief Nurse]

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## NPSA tools to help the frontline

**Before an error**  
 - Help staff to anticipate possible error

**After an error**  
 - Help with investigation  
 - Support for decision-making about staff  
 - Systematic approach for openness with patients

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## NPSA tools to help an organisation

**Before an error** support for training  
 - Help staff to anticipate possible error

**After an error** support for training and policies  
 - Help with investigation  
 - Support for decision-making about staff  
 - Systematic approach for openness with patients

**And also more generally...**  
 - Support for concepts around patient safety  
 - Information on organisational culture  
 - Support for Boards

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## Finding root causes needs quality investigation

**Visual discrimination (Vinca-alkaloids)**

**Standardisation**

**use the NHS Number**

**Changing attitudes**

Clean Hands Save Lives

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## Root Cause Analysis

- RCA training and tools designed by NPSA well established
- Cascading not that effective
- Relaunch of tools relating to quality of investigation
- Supporting through clarity around reporting and investigations expected after a serious untoward incident or Never Event

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## Recent developments

Resources to improve credibility/thoroughness of reports


- Investigation report template
- Guide to report writing
- Guidance around three levels of investigation
- Investigation report evaluation tool

Re-launch of RCA training by NPSA

- 2 day course for lead investigators

[rctraining@npsa.nhs.uk](mailto:rctraining@npsa.nhs.uk)

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## Plans for the future

- Collect RCA findings via national Reporting and Learning System
  - Aggregate analysis of RCA findings
  - Sharing learning via web access to NRLS data
- Development of RCA training prospectus
  - 2 day Lead investigators course
  - 1 day support investigator course
  - Half day RCA course for directors
  - 1 day RCA investigator masterclass


[rcatraining@npsa.nhs.uk](mailto:rcatraining@npsa.nhs.uk)

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## Incident Decision Tree

- Web-based tool
- Helps NHS managers and senior clinicians to identify appropriate management action
- Clarity about staff support/blame issues
- Commissioners should be aware of its use in providers




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## Incident Decision Tree decision support

- Whether it is necessary to suspend staff from duty following a patient safety incident
- Explores alternatives to suspension, such as temporary relocation or modification of duties
- Consideration of other measures to be taken as the investigation progresses





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## What is *Being open*?

*Being open* supports a culture of openness, honesty and transparency.

When there is a patient safety incident it is about acknowledging when something has gone wrong, saying sorry, and explaining what happened and what will happen next.


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## Help with openness with patients: *Being Open*

- Organisations should have a local policy, based on the NPSA's updated *Being open* alert
- Identify, and provide training to a minimum of three *Being open* Experts or Champions
- Nominate Board level leads
- Make a public commitment to *Being open*.
- Raise awareness of the *Being open* principles and local policy
- Being clear that commissioners should be aware of its use in providers

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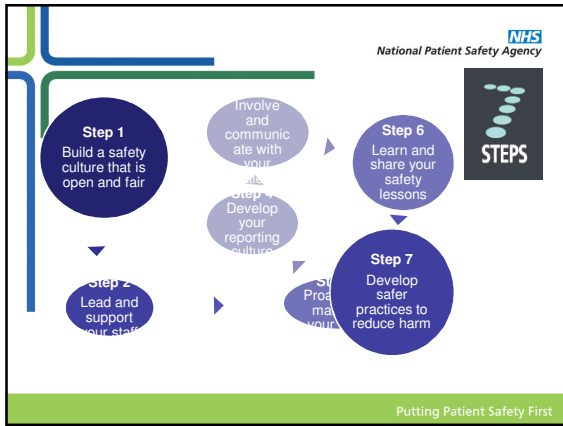
## Concepts of patient safety: Seven Steps



**Seven steps to patient safety**

- Step 1: Build a safety culture
- Step 2: Lead and support your staff
- Step 3: Integrate your risk management activity
- Step 4: Promote reporting
- Step 5: Involve and communicate with patients and the public
- Step 6: Learn and share safety lessons
- Step 7: Implement solutions to prevent harm

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## Strengthening the concepts around Seven Steps

Specialty and setting specific advice

- Mental Health version
- General practice

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## Anticipation and readiness in staff: Foresight training

- Teaches principles of why error more likely to occur in certain situations
- Case-based scenarios
- Helps staff be ready to prevent error
- Risk assessment booklet helps staff understand this

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## Organisational culture in the Seven Steps philosophy

- Step one is the foundation stone of patient safety.
- This builds a safety culture in an organisation
- This is needed to progress in the other steps
- As each step is conquered the stronger the safety culture should become

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## Help with understanding an organisation: Culture tool (MaPSaf)

Manchester Patient Safety culture tool


- Reflective tool to assess safety culture
- Team and organisational level
- Most widely used culture assessment tool in the NHS

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## Helping an organisation at Board level


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## Helping an organisation at Board level: Questions for Boards

Q1: Does everyone understand the importance of patient safety?  
 Q2: Do we really have an open and fair culture?  
 Q3: Are we actively encouraging reporting of incidents?  
 Q4: Do we get the right information?  
 Q5: Are we always open when things go wrong?  
 Q6: Do we learn from patient safety incidents?  
 Q7: Are we actively implementing national guidance and safety alerts?

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## 93% of Acute Trusts are signed-up



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## Next steps

- Build on what we already have created
- Better implementation
- Continue to tailor messages
- Penalties/incentives?
- Response of public
- Board understanding – enough?
- Will there and skills in place?

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## Thank you

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